



Representative Legal Matters

Eunice Tan

Some of Eunice's representative matters include:

- Advised Julius Baer Group on its pioneering joint venture with Siam Commercial Bank for the set up and operation of private wealth management businesses in Singapore and Thailand. The transaction involved a bespoke collaboration agreement between the parties to fit into the financial regulatory frameworks of both Singapore and Thailand, and the commercial terms of the joint venture.
- Advised LGT Group Foundation on its acquisition of ABN Amro's private banking business in Singapore, Hong Kong and Dubai. The transaction involved an unprecedented cross jurisdictional banking business transfer from Dubai to Singapore.
- Assisted several fund managers headquartered in U.S., U.K., Australia, Singapore, Hong Kong and China to secure capital markets services licence for fund management in Singapore, and "paragraph 9" approvals to allow group entities to offer segregated mandates in Singapore.
- Assisted several securities brokers headquartered in Japan, Hong Kong and China to secure capital markets services licence for dealing in capital markets products and custodial services, exemptions to conduct financial advisory services, and "paragraph 9" and "paragraph 11" approvals to allow group entities to offer brokerage and financial advice in Singapore.
- Advised several banks on regulatory and licensing requirements arising from cross border offering of products and services in the private banking and commercial banking sectors.
- Advised several fund managers on regulatory and licensing requirements for offering of funds; reviewed fund documents for compliance with Singapore regulatory requirements, reviewed agreements with distributors and placement agents; and assisted with fund registrations.
- Advised a leading digital wealth manager on the roll out of its robo advisory services, including identifying additional regulatory and compliance obligations for the new line of business and preparing terms and conditions.
- Assisted several fund managers, brokers, corporate finance advisers and other financial institutions on compliance manuals, anti-money laundering and know your customer policies and procedures, client onboarding forms, outsourcing policies and service agreements for compliance with Singapore regulations.

- Assisted various banks, wealth managers and other financial institutions on the preparation of their terms and conditions and customer agreements some of which include highly bespoke terms and conditions.
- Advised several U.S. banks on client's money and asset rules in Singapore and recoverability of client's money and assets from custodians in Singapore.
- Advised a global bank on potential market misconducts by its traders, including conducting internal investigations and advising the bank on its potential liabilities and regulatory reporting obligations.
- Advised a fund manager on potential breaches of AML/KYC policies, including conducting internal investigations, managing responses to regulator's inquiries and recommending procedures to enhance internal policies.
- Assisted a cryptocurrency exchange on the set up of its operations in Singapore