

Representative Legal Matters

Shaneil Shah

- Advising buy and sell-side firms on compliance with the regulatory regime, including IFR/IFD, MiFID II, ESG regulations, REMIT, EMIR, MAR, SFTR, the SSR, the UCITS Directive and the AIFMD.
- Acting for a number of asset managers in respect of authorisation or registration applications before the FCA.
- Advising a number of fund managers on new fund launches, including funds categorised under Articles 8 and 9 SFDR.
- Providing credit risk mitigation opinions under both the Capital Requirements Regulation and Solvency II for a wide range of banks and insurers.
- Advising a UK retail investment platform on the launch new investment products for pension customers and various customer transfers.
- Advising a number fund managers and banks on their custody arrangements.
- Advising a sovereign wealth fund on the implementation of its market abuse policies and procedures.
- Advising on the regulatory implication of a global restructuring of a US banking and payments conglomerate.
- Advising on the regulatory regime applicable to trading in and operating exchanges in relation to regulated UK and EU emissions allowances.
- Advising insurers and insurance distributors on their regulatory obligations regarding the launch of new insurance products including life and linked-long term.
- Advising on a number of implementation projects relating to financial crime, including anti-money laundering, anti-bribery and corruption and sanctions issues.
- Advising investment firms and fund managers on the applicability of FCA remuneration rules.