

# Representative Legal Matters

Cynthia Y.S. Tang

- Acting as lead partner in multi-jurisdictional regulatory review involving HK, Taiwan, Singapore and Switzerland regulators.
- Acting as lead partner in anti-money laundering investigations of the HKMA for a number of banks.
- Representing a senior executive of a major financial institution in FCPA investigation and ICAC investigation.
- Acting as the lead partner in a complex review of the HIBOR fixing process of a major financial institution.
- Advised and represented the sponsors in the 2 major SFC investigations concerning alleged misconduct in the IPO of Euro-Asia Agricultural (Holdings) Company Limited in 2005 and Hontex International Holdings Ltd. in 2012.
- Advising a HK licensed corporation in a SEC investigation and US court action as well as the simultaneous SFC investigation in Hong Kong.
- Advising a listed company in HK with major operation in China in an ongoing SFC investigation and High Court litigation regarding alleged fraud and market misconduct.
- Served as a Member of the Market Misconduct Tribunal in the Sunny Global Holdings Limited proceedings with The Honourable Mr. Justice Lunn as Chairman.
- Acting as lead partner in advising and representing various banks in the HKMA and SFC investigation in relation to the distribution of Lehman minibond and related investment products, including advice on customers' claims, HK LegCo inquiry and compliance/regulatory review.
- Represented a number of listed companies in various SEHK inquires and compliance matters including liaising with the Listing Division of the SEHK.
- Regularly advised and represented SFC licensed corporations and registered institutions on the proper handling of employees' misconduct including advice on the conduct of internal investigation, related regulatory compliance and employment issues, liaising with the regulators, CCB and ICAC (as the case may be).
- Advised the Financial Secretary and Government in various suspected insider dealing cases.

- Advised large multi-national group in SEC investigations in over 10 jurisdictions including advice on local restrictions, mode of investigation, risk management, human rights, employment issues, export of information, etc.
- Advising a number of global private equity investors in relation to investigation into subsidiaries in PRC involving various PRC court actions.
- Advising a leading bank in Thailand in a banking dispute involving issues of corporate fraud, directors' authority and knowing receipt.
- Represented a US corporation in a large scale fraud claim including leading and coordinating a team of lawyers in a large scale execution of an Anton-Piller injunction on multiple defendants in various locations.
- Represented a multi-national corporation in a contract claim and acted as lead lawyer and coordinator for parallel legal actions in the US, Australia and the UK.
- Advised on Hong Kong law in a US lawsuit concerning a warranty claim for over USD500 million between two multi-national technology companies including all cross-border issues, taking depositions of various parties and liaising with the HKSAR Government and the SEHK.