



## Representative Legal Matters

Barrie L. Brejcha

- Conducted large scale internal investigation on behalf of a multinational public relations firm regarding possible FCPA violations arising from the acquisition of a business unit in China.
- Advised a multinational Aerospace and Defense contractor in connection with mergers, acquisitions and offset projects in a variety of international jurisdictions regarding FCPA and global anti-corruption compliance and anti-corruption due diligence.
- Defended a pension fund consulting firm in a high profile civil litigation concerning alleged USD 95 million in losses to LACERS' securities lending portfolio.
- Defended a national brokerage firm in multidistrict antitrust litigation and parallel investigations by the US SEC and US DOJ into NASDAQ market-making activities.
- Represented a D & O insurer of energy and utility companies in large, high-exposure shareholder securities class actions and derivative litigation filed against insured members and in related coverage disputes.
- Defended the former CFO of a financial services holding company in a federal securities class action litigation alleging misrepresentation and failure to disclose critical aspects of the company's finances and operations. Interfaced with D & O insurer to confirm coverage for defense costs.
- Defended a Taiwanese company and one of its directors in a federal securities fraud litigation and subsequent appeal regarding the constitutionality of the Foreign Private Issuer Exemption.
- Defended a publicly-listed steel company in a securities class action litigation and related SEC investigation involving allegations of improper revenue recognition.
- Defended a former officer of a waste disposal and recycling company in two SEC investigations and related federal court litigation involving charges of insider trading and corporate fraud.
- Defended local broker-dealers in trial and appellate courts throughout the US, in a series of nationwide class actions challenging the industrywide practice of receipt of payments in exchange for order flow.
- Defended the former CFO of a software firm in SEC enforcement litigation charging improper revenue recognition.