

Representative Legal Matters

Robert W. Tarun

- Obtained an internal controls FCPA resolution and a civil money penalty of USD375,000 with the Securities and Exchange Commission (SEC) and a declination from the Department of Justice (DOJ) for a global health science company whose employee engaged a Russian agent and approved bribes to foreign officials. In the Matter of Nordion (Canada), Inc., Securities Exchange Act Release No. 77290 (March 3, 2016).
- Obtained an 18-month probation sentence for the former chairman of the board of a large Indiana-based coupon processing company charged with a USD250 million conspiracy and mail fraud. *United States v. B.F.* (S.D.Ind. 2015).
- Obtained a three-year probation and 300 hours of community service sentence for the president of a Chicago area metal stamping company charged with a six-year USD2.4 million tax fraud. *United States v. M.W.* (N.D.Ill. 2015).
- Represented an executive of Diamond Foods of Stockton, California in an SEC securities fraud investigation (N.D.Cal. 2015).
- Represented swisspartners Investment Network, AG, a Zurich-based asset management firm, in obtaining a DOJ non-prosecution agreement in connection with its assistance of US taxpayers in opening and maintaining undeclared foreign bank accounts (S.D.N.Y. 2014).
- Obtained a DOJ declination for a Gibraltar financial institution in connection with its alleged assistance of US taxpayers in opening and maintaining undeclared foreign bank accounts (2014).
- Obtained a declination from the SEC for a Silicon Valley global health company in relation to a whistleblower's claim of improper payments in Hong Kong and India (2014).
- Appointed the first criminal antitrust corporate monitor in US history. *United States v. AU Optronics* (N.D.Cal. 2012).
- Represented numerous Silicon Valley audit committees and public companies in internal investigations involving FCPA, revenue recognition, cartel issues and whistleblower allegations.
- Represented companies and individuals in DOJ and SEC investigations, particularly addressing fraud, FCPA, revenue recognition and options backdating.
- Conducted anti-corruption risk assessments for Fortune 500 companies in BRIC countries.